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10/756,101	01/13/2004	Steven M. Dubinett	G&C 30435.152-US-11	3604
22462 7590 11/21/2008 GATES & COOPER LLP HOWARD HUGHES CENTER 6701 CENTER DRIVE WEST, SUITE 1050 LOS ANGELES, CA 90045				
EXAMINER				
RAWLINGS, STEPHEN L				
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**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

# Office Action Summary

**Application No.**

10/756,101

**Applicant(s)**

DUBINETT ET AL.

**Examiner**

Stephen L. Rawlings

**Art Unit**

1643

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --  
**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 29 August 2008.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 32 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 32 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☒ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 13 January 2004 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO-8508)
- 4) ☐ Interview Summary (PTO-413)
- 5) ☐ Notice of Informal Patent Application
- 6) ☐ Other: \_\_\_\_\_
- Paper No(s)/Mail Date \_\_\_\_\_

### **DETAILED ACTION**

1. The finality of the preceding Office action mailed September 20, 2007, is withdrawn.
2. Claim 32 is pending in the application and currently under prosecution.

#### ***Response to Arguments***

3. Applicant's arguments, as set forth in the Appeal Brief filed August 29, 2008, with respect to the rejection of claim 32 under 35 U.S.C. § 103(a), have been fully considered and are persuasive. Therefore, the rejection has been withdrawn. However, upon further consideration, new grounds of rejection are made.

#### ***New Grounds of Objection***

##### ***Specification***

4. The specification is objected to because the use of improperly demarcated trademarks has been noted in this application. Although the use of trademarks is permissible in patent applications, the proprietary nature of the marks should be respected and every effort made to prevent their use in any manner that might adversely affect their validity as trademarks. See MPEP § 608.01(v).

An example of such an improperly demarcated trademark appearing in the specification is Taxol™; see, e.g., paragraph [0098] of the published application<sup>1</sup>.

Appropriate correction is required. Each letter of a trademark should be capitalized or otherwise the trademark should be demarcated with the appropriate symbol indicating its proprietary nature (e.g., ™, ®), and accompanied by generic terminology. Applicants may identify trademarks using the "Trademark" search engine under "USPTO Search Collections" on the Internet at <http://www.uspto.gov/web/menu/search.html>.

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<sup>1</sup> U.S. Patent Application Publication No. 2004/0175355-A1.

5. The disclosure is objected to because the disclosure refers to embedded hyperlinks and/or other forms of browser-executable code and/or to the Internet contents so identified. Reference to hyperlinks and/or other forms of browser-executable code and/or to the Internet contents so identified is impermissible and therefore requires deletion.

An example of such an impermissible disclosure appearing in this application is found in the specification at paragraph [0055] of the published application.

The attempt to incorporate essential or non-essential subject matter into the patent application by reference to a hyperlink and/or other forms of browser-executable code is considered to be an improper incorporation by reference. See MPEP § 608.01(p), paragraph I regarding acceptable incorporation by reference. See 37 CFR § 1.57.

#### ***Claim Rejections - 35 USC § 101***

6. 35 U.S.C. 101 reads as follows:

Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof, may obtain a patent therefor, subject to the conditions and requirements of this title.

7. Claim 32 is rejected under 35 U.S.C. 101 because the claimed invention is not supported by either a specific and substantial asserted utility or a well-established utility.

The considerations that are made in determining whether a claimed invention is supported by either a specific and substantial asserted utility or a well-established utility are outlined by the published Utility Examination Guidelines (Federal Register; Vol. 66, No. 4, January 5, 2001). A copy of this publication can be viewed or acquired on the Internet at the following address: <http://www.gpoaccess.gov/>.

Briefly, a "specific and substantial" asserted utility is an asserted utility that is specific to the particular nature and substance of the claimed subject matter, and which would be immediately available for application in a "real-world" context by virtue of the existing information disclosed in the specification and/or on the basis of knowledge imparted by the prior art, such that its use would not require or constitute carrying out

further research to identify or reasonably confirm its usefulness in this context. A "well-established" utility is a credible, specific, and substantial utility, which is well known, immediately apparent, and implied by the specification, and based on the disclosure of the properties of a material or subject matter, either alone or taken with the knowledge of one skilled in the art.

Claim 32 is drawn to a method of attracting T lymphocyte or mature host dendritic cells to a site of a *syngeneic tumor* in a mammal, said method comprising obtaining dendritic cells from the mammal, introducing a polynucleotide encoding secondary lymphoid as shown in SEQ ID NO: 1 into the dendritic cells and placing the cells at the site of the tumor in the mammal.

It is important to note the distinction between a "syngeneic" tumor and a "autologous" tumor in order to understand that the process that is claimed is but a mere tool for research and otherwise lacks a specific and substantial utility, as is required under 35 U.S.C. § 101 for the patentability of a process.

The term "syngeneic" is a term of art, which, when used in the context of the language of the claim, describes a tumor or a tumor cell line that originated in and was derived from an animal of an identical genetic background as the animal into which the same tumor cells are inoculated so as to establish a tumor in the animal for the purposed of modeling the pathology of the disease associated with the tumor<sup>2</sup>.

One description of the derivation of such tumor cells for use in producing animal models for studying adoptive immunotherapy is found in the publication of Shu et al. (*Cancer Res.* 1985 Apr; **45**: 1657-1662). Shu et al. describes the induction of a series of tumors in a particular strain of mice (i.e., "C57BL/6") by injecting the mice with a tumorigenic substance, namely 3-methylcholantrene (MCA); see entire document (e.g., the abstract; and page 1657, column 2). Following their appearance in the mice samples of the tumors were acquired and used to produce two weakly immunogenic, syngeneic tumor models; see, e.g., the abstract; and page 1657, column 2. Shu et al.

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<sup>2</sup> Though the term "syngeneic" is not expressly defined in this application, it appears that it is used in a manner consistent with its art-recognized meaning.

explain that unlike highly immunogenic, syngeneic and allogeneic<sup>3</sup> tumor models, such weakly immunogenic syngeneic tumor models provide investigators with unique opportunities for studying the adoptive immunotherapy of established tumors; see, e.g., the abstract; and page 1657, column 2.

In part, the reason that poorly immunogenic, syngeneic tumor models are so useful is that such models more accurately mirror the disease that occurs, particularly in human patients. In general, tumor cells tend to dedifferentiate, so as to become less immunogenic over time, which causes the cells to become more indistinguishable from the normal cells of the body and more capable of evading recognition by the immune system.

Allogenic tumor models are established in mice using heterologous tumor cells, which originated in genetically dissimilar, antigenically different individual animals; as a consequence of the tumor cell's intrinsic antigen heterogeneity, the host animal's immune system tends to more readily recognize the tumor cell as foreign to the body<sup>4</sup>.

In marked contrast to evident artificiality of such allogeneic tumor models, tumors occurring in human patients are "autologous"; i.e., the tumors originate from the cells of the selfsame individual. As a consequence, tumors occurring in patients are generally not highly immunogenic, and as noted above, tend to become less immunogenic over time.

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<sup>3</sup> "Allogenic" is a term of art describing genetically dissimilar, antigenically different individuals of the same species of animal, or the cells and tissues of such different individuals, such as the mice of any of the genetically different strains often used in the art, including, for example, C57BL/6 and BALB/c.

<sup>4</sup> The deficiency of non-syngeneic animal models is explained in the disclosure at paragraph [0004] of the published application, which discloses: "Unfortunately, many animal models of cancer which introduce cancer cell lines into an animal are confounded by immune responses that are influenced by differences between the genetic background of the host animal and the cancer cell lines that are being evaluated. Specifically, in cancer models in which host animals and cancer cell lines do not share an essentially identical genetic background, there are a variety of problems including those associated with "non-self" immune responses by the host's immune system that are akin to those seen in the rejection of transplanted organs between individuals. The non-self immune responses that can result from the host immune system's recognition of non-self antigens on autogeneic cancer cells (a phenomena which understandably does not occur in cancers), create an immune response to cancer cells that does not occur in human cancers."

Thus, it is oft agreed that syngeneic tumor models or perhaps more preferably weakly immunogenic, syngeneic tumor models are more effectively used to study the pathology of the disease that occurs in humans<sup>5</sup>.

Perhaps not inconsistently, at paragraph [0009] of the published application, the specification discloses: "There is a need in the art for cancer models that faithfully mimic immune mechanisms in cancer in order to examine, for example[,] how host cytokine profiles are modulated by SLC as well as the capacity of SLC to orchestrate effective cell-mediated immune responses to syngeneic cancer cells."

As such, this application describes the development and use of a syngeneic animal model, which is intended for use in demonstrating that the intratumoral injection of dendritic cells engineered to express high levels of murine secondary lymphoid tissue chemokine (mSLC) promotes chemotaxis of T cells and mature dendritic cells to the site of the tumor in mice<sup>6</sup>.

Accordingly, at paragraph [0010] of the published application, the specification discloses:

The invention disclosed herein provides animal models which faithfully mimic immune mechanisms in cancer by utilizing host animals and cancer cells that have an essentially identical genetic background. These models are used to demonstrate the capacity of SLC to orchestrate effective cell-mediated immune responses to syngeneic cancer cells. In addition, these models can be used to evaluate host cytokine profiles that are associated with SLC modulated immune responses to syngeneic cancer cells.

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<sup>5</sup> As an example of such indications for syngeneic animal models, Gelderman et al. (*Mol. Immunol.* 2003; **40**: 13-23) teaches immunotherapy offers a potential means for destroying metastatic cancer cells, but despite promising results obtained using xenograft models, the overexpression of mCRP impedes complement-mediated destruction of tumor cells; and because mCRP operates in a species selective manner, "a syngeneic animal model is needed to investigate the contribution of mCRP in monoclonal antibody-mediated immunotherapy" (abstract); see entire document.

<sup>6</sup> Example 10 at paragraphs [0220] and [0221] describes experiments in which the intratumoral injection of transfected, recombinant dendritic cells expressing murine SLC led to eradication of established syngeneic tumors in mice, but there appears to be no directed evidence supporting the conclusion that the eradication of the tumor was achieved by recruitment of T lymphocytes or mature dendritic cells to the sites of the tumors in the mice. Notably though it appears that the specification does not expressly teach that the dendritic cells were transfected with nucleic acid encoding mouse SLC, the nature of the nucleic acid used in these experiments is more completely described elsewhere; see, e.g., Sharma et al. (*J. Immunol.* 2000; **164**: 4558-4563) (of record; cited by Applicant), Riedl et al. (*Proc. AACR.* 2003; **44**: 417; abstract #1834), and Reidl et al. (*Mol. Cancer.* 2003 Nov 2; **2**:35; as published on the Internet, pp. 1-13).

The claimed process utilizes such syngeneic tumor models<sup>7</sup>, but comprises introducing, not a polynucleotide encoding mSLC, but rather a polynucleotide encoding human secondary lymphoid tissue chemokine (hSLC) (i.e., a polypeptide having the amino acid sequence of SEQ ID NO: 1) into dendritic cells acquired from an individual mammal, and then reintroducing the recombinant dendritic cells expressing the polynucleotide at the site of an established syngeneic tumor in the individual.

It follows logically that the claimed process will not be practiced using a human patient because it would be unethical, if not forbidden by law, to transplant viable tumor cells (syngeneic or otherwise) into a human in order to establish a syngeneic tumor in the individual, so as to provide a model for the study of the effects of intratumoral injections of recombinant dendritic cells.

Thus, it is apparent that the claimed process must be practiced using suitable research animals, such as, e.g., mice or guinea-pigs; but herein lays the problem. There is no factual evidence that hSLC (i.e., a polypeptide having the amino acid sequence of SEQ ID NO: 1) should be expected to function as a chemotactic factor in any species of animal other than human to attract lymphocytes or dendritic cells to the sites in the animal at which recombinant dendritic cells expressing a nucleic acid encoding hSLC are injected.

Actually there is factual evidence that the human SLC polypeptide of SEQ ID NO: 1 fails to induce chemotaxis of murine cells, which have not been engineered to express a nucleic acid encoding the hSLC receptor (i.e., human CCR7).

For example, Yoshida et al. (*J. Biol. Chem.* 1998 Mar 20; **273** (12): 7118-7122) describes experiments in which the murine pre-B cell line L1.2 was stably transfected with nucleic acid encoding human CCR7; see entire document (e.g., the abstract; and page 7119, column 1). Yoshida et al. demonstrates that although hSLC induced chemotaxis of the transfected cells expressing human CCR7, it had no detectable effect

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<sup>7</sup> Here, it is noted that the development and use of syngeneic models, or perhaps more preferably weakly immunogenic syngeneic tumor models was widely known as of the time the application was filed. See, e.g., Shu et al. (*Cancer Res.* 1985 Apr; **45**: 1657-1662); Noguchi et al. (*Proc. Natl. Acad. Sci. USA.* 1994 Apr; **91**: 3171-3175); Nomura et al. (*Int. J. Cancer.* 2001; **91**: 597-606), and Miller et al. (*Human Gene Ther.* 2000; **11**: 53-65) (of record).



upon the migration of the parental cells, which were not transfected and did not express human CCR7; see, e.g., page 7120, Figure 4.

Because the specification fails to demonstrate that the human SLC polypeptide of SEQ ID NO: 1 binds to mouse CCR7 or any other paralog of human CCR7 found in other species of mammals suitably used to produce syngeneic tumor models, so as to act as a chemotactic, lymphocyte or dendritic cell homing factor in those mammals, and because there is factual evidence that it lacks such activity, it is submitted that the claimed process may be inoperable.

Nonetheless, even if it were later found that the human SLC polypeptide of SEQ ID NO: 1 is perhaps unexpectedly capable of binding to a receptor expressed by T lymphocytes and mature dendritic cells of another species of mammal suitably used to produce syngeneic tumor models, so as to attract those cells to the site of a tumor at which recombinant dendritic cells expressing a nucleic acid encoding the polypeptide were injected, the invention, and its use, would at best serve as a basic and/or preclinical research tool for investigative studies designed to assess those activities of hSLC and/or any therapeutic effect that might be attained from the *ex vivo* transfection of autologous dendritic cells with nucleic acid encoding the polypeptide and subsequent intratumoral injection of the transfected dendritic cells at the site of a tumor in an mammal.

Therefore, the claimed invention lacks a specific and substantial asserted utility because there is no immediate benefit upon the use of the claimed process that might be derived by the public for a grant of a patent monopoly of the existing information disclosed in the specification.

The U.S. Supreme Court addressed the issue of utility under 35 U.S.C. § 101 in deciding *Brenner, Comr. Pats. v. Manson*, 148 U.S.P.Q. 689 (US SupCt, 1966). The Court expressed the opinion that all chemical compounds are "useful" to the chemical arts *when this term is given its broadest interpretation*; nonetheless, the court held that this broad interpretation was not the intended definition of "useful" as it appears in 35 U.S.C. § 101, which requires that an invention must have either an immediately obvious or fully disclosed "real world" utility. The Court held that:

The basic quid pro quo contemplated by the Constitution and the Congress for granting a patent monopoly is the benefit derived by the public from an invention with substantial utility. Unless and until a process is refined and developed to this point-where specific benefit exists in currently available form-there is insufficient justification for permitting an applicant to engross what may prove to be a broad field. *Id.*, at 695.

Further, the Court opined,

[W]e are [not] blind to the prospect that what now seems without "use" may tomorrow command the grateful attention of the public. But a patent is not a hunting license. It is not a reward for the search, but compensation for its successful conclusion. *Id.*, at 696.

It is submitted the instant situation is directly analogous to that which was addressed by the Court in deciding *Brenner, Comr. Pats. v. Manson*, since hereto it might be said that all investigative research tools are "useful" in the biochemical arts when the term is given its broadest interpretation, but nevertheless § 101 requires that an invention have either an immediately obvious or fully disclosed "real world" utility, which the claimed invention lacks because the specification does not disclose a currently available "real world" use for the claimed process.

To employ the disclosure of the claimed method as a tool in any endeavor other than basic and/or preclinical research would require further experimentation and development, which should be regarded as constituting part of the inventive process. Because the specification does not disclose a currently available, "real world" use for the claimed invention, the requirements set forth under 35 U.S.C. § 101 have not been met.

Notably at paragraph [0146] of the published application, the specification discloses that the claimed process has a number of uses:

For example this method can be applied to therapeutic contexts (e.g. in the treatment of individuals suffering from a cancer). In addition, this method provides a model for dissecting the various physiological process [sic] associated with immunosurveillance, in particular the natural ability that mammals have to respond to cancers. In addition, this model can be used to study the coordinate use of various known chemotherapeutic agents, for example the effect that a specific chemotherapeutic agent has on the immune response associated with the chemotaxis of peripheral blood lymphocytes and dendritic cells to the site of a tumor in vivo.

Contrary to the asserted usefulness of the claimed process to treat cancer in individual suffering from the disease, as explained above, the claimed process utilizes a *syngeneic* tumor and will not be practiced using a human patient, for example, because it would be unethical, if not forbidden by law, to transplant viable tumor cells (syngeneic or otherwise) into a human in order to establish a syngeneic tumor in the individual, so as to provide a model for the study of the effects of intratumoral injections of recombinant dendritic cells<sup>8</sup>.

Then, with regard to other disclosed uses of the claimed process (e.g., the provision of a model for dissecting the various physiological processes associated with immunosurveillance and the natural ability that mammals have to respond to cancers), such disclosures suggest the invention is intended for use as a research tool.

However, because the claimed process may be but a mere research tool, as opposed to a process that might be used in a manner that would provide immediate benefit to the public by its practice, its application may or may not yield information that could eventually lead to the development of useful inventions.

This position substantiated by the teachings of Peterson et al. (*Eur. J. Cancer*, 2004; **40**: 837-844). Peterson et al. teaches numerous antitumor treatments have shown exciting activity in preclinical models and yet have had minimal activity clinically; see, e.g., the abstract. Such disappointments, Peterson et al. discloses, "have led to reasonable skepticism about the true value of both syngeneic and xenograft rodent tumour models in accurately identifying agents that will have important clinical utility" (abstract). Peterson et al. reviews the limitations of such animal models, which may account for such poor extrapolation of preclinical findings; see entire document (e.g., page 840, column 2).

Furthermore, because it remains to be determined if human SLC will function as a chemotactic factor to attract T lymphocytes and/or mature dendritic cells of some

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<sup>8</sup> Moreover, since the tumor to which the claim is directed is a "syngeneic" tumor, as opposed to an "autologous" tumor, the claimed process necessarily involves the procurement of a mammal harboring a tumor established by the transfer of tumor cells from another mammal, albeit a mammal of identical genetic background; and therefore, it might be argued that the claims do not actually read on a method for treating an "autologous" tumor in a human patient, as might be done in a clinical setting.

mammal suitably used for producing a syngeneic tumor model, the operability of the claimed process to achieve the claimed effect must be established before its use in any manner.

Utilities that require or constitute carrying out further research to identify or reasonably confirm a "real world" context of use are not "specific and substantial utilities".

To fulfill the requirements of § 101, the skilled artisan must be able to use a claimed invention in the manner asserted by Applicants' to provide some immediate benefit to the public. See *Nelson v. Bowler and Crossley*, 206 USPQ 881 (CCPA, 1980).

The existing information disclosed by Applicants' application would merely provide the artisan with an invitation to perform further investigations to discover how the claimed invention might be useful. Although such additional investigation might ultimately lead to a derivation of a specific benefit, an immediate benefit could not be derived from the use of the claimed invention because the existing information is insufficient to enable the artisan to use the claimed process in a specific, substantial and credible manner to provide an immediate benefit to the public upon the grant of a patent. Although the disclosure of the claimed process might tomorrow command the grateful attention of the public, the Court has decided:

[A] patent is not a hunting license. It is not a reward for the search, but compensation for its successful conclusion.

*Brenner, Comr. Pats. v. Manson*, 148 U.S.P.Q. 689 at 696 (US SupCt, 1966).

In summary, then, because the specification does not disclose a currently available, "real world" use for the claimed invention, which is specific to the nature and substance of the claimed subject matter, as disclosed, since it seems that the claimed process is at best a research tool, if indeed operable, the requirements set forth under 35 U.S.C. § 101 have not been met.

***Claim Rejections - 35 USC § 112***

8. The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

9. Claim 32 is also rejected under 35 U.S.C. 112, first paragraph. Specifically, since the claimed invention is not supported by either a specific and substantial asserted utility or a well established utility for the reasons set forth above, one skilled in the art clearly would not know how to use the claimed invention.

As explained in the above rejection of claim 32 under 35 U.S.C. § 101 the claimed process, if operable, lacks a specific and substantial asserted utility.

As such, the claimed invention could not be used in a manner that would provide any immediate benefit to the public without need of first elaborating a real-world use for the process, which could provide that benefit to the public.

The need to elaborate such a utility for the claimed process before the invention could be used to achieve immediate benefit from its practice would constitute a need to perform undue and unreasonable experimentation.

M.P.E.P. § 2164.01 states:

The standard for determining whether the specification meets the enablement requirement was cast in the Supreme Court decision of *Mineral Separation v. Hyde*, 242 U.S. 261, 270 (1916) which postured the question: is the experimentation needed to practice the invention undue or unreasonable? That standard is still the one to be applied. *In re Wands*, 858 F.2d 731, 737, 8 USPQ2d 1400, 1404 (Fed. Cir. 1988). Accordingly, even though the statute does not use the term "undue experimentation," it has been interpreted to require that the claimed invention be enabled so that any person skilled in the art can make and use the invention without undue experimentation. *In re Wands*, 858 F.2d at 737, 8 USPQ2d at 1404 (Fed. Cir. 1988).

There are many factors to be considered when determining whether there is sufficient evidence to support a determination that a disclosure does not satisfy the enablement requirement and whether any necessary experimentation is "undue". These factors, which have been outlined in the Federal Circuit decision of *In re Wands*, 858 F.2d 731, 737, 8 USPQ2d 1400, 1404 (Fed. Cir. 1988), include, but are not limited

to, the nature of the invention, the state of the prior art, the relative skill of those in the art, the amount of direction or guidance disclosed in the specification, the presence or absence of working examples, the predictability or unpredictability of the art, the breadth of the claims, and the quantity of experimentation which would be required in order to practice the invention as claimed. See also *Ex parte Forman*, 230 USPQ 546 (BPAI 1986).

Accordingly, upon careful consideration of the factors used to determine whether undue experimentation is required, in accordance with the Federal Circuit decision of *In re Wands*, 858 F.2d at 737, 8 USPQ2d at 1404 (Fed. Cir. 1988), and given the claimed invention's evident lack of utility under § 101, it is submitted that the amount of guidance, direction, and exemplification disclosed in the specification, as filed, would not be sufficient to have enabled the skilled artisan to have used the claimed invention at the time the application was filed without undue and/or unreasonable experimentation.

10. The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

11. Claim 32 is rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

Claim 32 is indefinite for the following reason:

Claim 32 recites the term "secondary lymphoid tissue chemokine as shown in SEQ ID NO: 1".

It cannot be ascertained whether the secondary lymphoid tissue chemokine to which the claim refers is a polypeptide comprising, or consisting of the amino acid sequence of SEQ ID NO: 2.

As such the claim fails to delineate the metes and bounds of the subject matter that is regarded as the invention with the necessary clarity and particularity to permit the

skilled artisan to know or determine infringing subject matter, so as to satisfy the requirement set forth under 35 U.S.C. § 112, second paragraph.

### ***Conclusion***

12. No claim is allowed.

13. The prior art made of record and not relied upon is considered pertinent to Applicant's disclosure. Kirk et al. (of record) teaches augmentation of dendritic cell-based immunotherapy by murine SLC using an allogeneic tumor model. Chan et al. (*Blood*. 1999; **93**: 3610-3616) (of record; cited by Applicant) teaches human SLC is chemotactic for mature dendritic cells. Willmann et al. (*Eur. J. Immunol.* 1998; **28**: 2025-2034) (of record; cited by Applicant) teaches human SLC acts as a chemoattractant via CCR7 to attract activated T cells. Nomura et al. (*Anticancer Res.* 2000; **20**: 4073-4080) reviews SLC. Nishioka et al. (*Cancer Res.* 1999 Aug 15; **59**: 4035-4041) teaches intratumoral injection of recombinant dendritic cells expressing IL-12 induces antitumor immunity. Hirao et al. (*Cancer Res.* 2000 Apr 15; **60**: 2209-2217) teaches inoculation of recombinant dendritic cells expressing CCR7 at the site of a tumor in a syngeneic tumor model. Tirapu et al. (*Cur. Gene Ther.* 2002; **2**: 79-89) teaches cytokine, including IL-12 and SLC, encoding nucleic acid transfer into dendritic cells for intratumoral delivery.

14. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Stephen L. Rawlings whose telephone number is (571) 272-0836. The examiner can normally be reached on Monday-Friday, 8:30AM-5:00PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Larry Helms, Ph.D. can be reached on (571) 272-0832. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

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